



Risk Disclosure Notice

Version: 1.0

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Definitions

“Company” means MarketsVox (SC) Ltd.

“FSA” means Financial Services Authority in Seychelles.

“Notice” means this Risk Disclosure Notice.

Any capitalized term not defined in this Notice shall have the same meaning as in the Client Services Agreement.

1. Introduction

MarketsVox (SC) Ltd is a Company incorporated and registered under the laws of Seychelles with Company number 8430368-1. The Company is licensed and regulated as a Securities Dealer by the FSA under Securities Dealer license number SD142.

2. Risk Warning

This Notice is provided to you, the Client, in accordance with the applicable regulations, because you are considering dealing with the Company in the investment products provided by the Company. Each investment product and services have its own distinct risk. This Notice cannot and does not disclose or explain all the risks and other significant aspects involved in these products or how such risks relate to your personal circumstances. This Notice is designed with the sole purpose of explaining in general terms the nature of the risks related to the dealing in the Financial Instruments offered by the Company and to help you to take investment decisions on an informed basis.

You should not engage in any investment directly or indirectly in Financial Instruments unless you know and fully understand the risks involved for each one of the Financial Instruments offered by the Company. Therefore, prior to applying for an account, you should consider carefully whether investing in a specific Financial Instrument is suitable for you in the light of your personal circumstances and financial resources.

In case you do not understand the risks involved, you should seek advice or consultation from an independent financial advisor. If you still do not understand the risks involved in trading in any Financial Instruments, you should consider not participating in trading.

3. General Risks and Acknowledgements

Forex and CFDs are leveraged products and complex Financial Instruments that require knowledge and understanding, and the effect of leverage is that small price movement can cause both gains and losses to be multiplied. You are strongly advised to obtain independent financial, legal and tax advice before proceeding if you do not understand the risks involved.

Please carefully consider the following before deciding to participate in the market:

- a. The Company does not and cannot guarantee that funds deposited in the Trading Account for trading will not be lost as a result of your transactions.
- b. You acknowledge that, regardless of any information which may be offered by the Company, the value of any investment in Financial Instruments may fluctuate downwards or upwards and it is even probable that in the result of price fluctuations the investment and/or assets may become of no value.
- c. You acknowledge that you run a great risk of incurring losses and damages as a result of the purchase and/or sale of any Financial Instrument and accept that

you are willing to undertake this risk.

- d. Information of the previous performance of a Financial Instrument does not guarantee its current and/or future performance. The use of historical data does not constitute a binding or safe forecast as to the corresponding future performance of the Financial Instruments to which the said Information refers.
- e. You are hereby advised that the transactions undertaken through the dealing services of the Company may be of speculative nature. Large losses may occur in a short period of time and may be equal to or greater than the total value of funds deposited with the Company.
- f. A Financial Instrument on foreign markets may entail risks different to the usual risks of the markets in your country of residence. In some cases, these risks may be greater. The prospect of profit or loss from transactions on foreign markets is also affected by exchange rate fluctuations.
- g. The value of the CFDs Financial Instrument may be directly affected by the price of the security or any other underlying asset which is the object of the acquisition.
- h. You acknowledge that there is a likelihood of you incurring losses exceeding the potential for profits when engaging in the Financial Instruments offered by the Company.
- i. Under certain market conditions (for example but not limited to the following situations: force majeure event, technical failure, communications network failure, poor or no liquidity, market news or announcements etc.) it may be difficult or impossible to execute an order.
- j. Placing “Stop-Loss” Orders serves to limit your losses. However, under certain market conditions the execution of a “Stop-Loss” Order may be worse than its stipulated price and the realized losses can be larger than expected.
- k. Should your Equity be insufficient to hold current positions open, you may be called upon to deposit additional funds at short notice or reduce exposure. Failure to do so within the required time may result in the liquidation of positions at a loss and you will be liable for any resulting deficit.
- l. Your attention is expressly drawn to currencies traded so irregularly or infrequently that it cannot be certain that a price will be quoted at all times or that it may be difficult to effect transactions at a price which may be quoted owing to the absence of a counterparty.
- m. Trading on-line, no matter how convenient or efficient, does not necessarily reduce risks associated with currency trading.
- n. There is a risk that your trades in Financial Instruments may be or become subject to tax and/or any other stamp duty, for example, because of changes in legislation or your personal circumstances. The Company does not warrant that no tax and/or any other stamp duty will be payable. You shall be responsible for any taxes and/or any other duty which may accrue in respect of your trades.

- o. Before you begin to trade, you should obtain details of all commissions and other charges for which you will be liable from the information published in the Key Fact Statement and on the Company's website.
- p. The Company will not provide you with investment advice relating to investments or possible transactions in investments or make investment recommendations of any kind.
- q. There may be situations, movements and/or conditions occurring at the weekend, at the beginning of the week or intra-day after the release of the significant macroeconomic figures, economic or political news that make currency markets to open with price levels that substantially differ from previous prices. In this case, there exists a significant risk that orders issued to protect open positions and open new positions may be executed at prices significantly different from those designated.

4. Third Party Risks

The Company may be required to hold your money in an account that is segregated from other Clients and the Company's money in accordance with current regulations, but this may not afford complete protection.

The Company may pass money received from you to a third party (e.g. a bank) to hold or control in order to effect a transaction through or with that person or to satisfy your obligation to provide collateral (e.g. initial margin requirement in respect of a transaction). The Company has no responsibility for any acts or omissions of any third party to whom it will pass money received from you.

The third party to whom the Company will pass money may hold it in an omnibus account and it may not be possible to separate it from the Client's money, or the third party's money. In the event of the insolvency or any other analogous proceedings in relation to that third party, the Company may only have an unsecured claim against the third party on your behalf, and you will be exposed to the risk that the money received by the Company from the third party is insufficient to satisfy the claims in respect of the relevant account. The Company does not accept any liability or responsibility for any resulting losses.

The insolvency of the company or of a bank or broker used by the Company to effect its transactions may lead to your positions being closed against your consent.

5. Conflict of Interests

The Company might be the counterparty to some of the transactions entered into under the Client Services Agreement and, as such, the Company's interests may be in conflict with yours. For further details please read our Conflicts of Interest Policy which is available on the Company's website.

6. Prices

The Company will provide the prices to be used in trading and the valuation of your positions in accordance with its trading policies and procedures. The trading rates assigned to the assets on the Company's website are the ones at which the Company is willing to sell CFDs to its customers at the point of sale. As such, they may not directly correspond to real time market levels at the point in time at which the sale of options and/or CFDs occurs.

7. Rights to Underlying Assets

You have no rights or obligations in respect of the Underlying Assets relating to your CFD trading.

8. Trading Platform Risks

Clients, who undertake transactions on an electronic trading system, will be exposed to risks associated with the system including the failure of hardware and software (Internet/Servers). The result of any system failure may be that an order is not executed according to the instructions provided for it or it is not executed at all. The Company does not accept any liability in the case of such failure. The use of wireless connections or dial-up connections, or any other form of unstable connection at your end, may result in poor or interrupted connectivity or lack of signal strength causing delays in the transmission of data between you and the Company, when using the Company's Trading Platform. Such delays or disturbances may result in you sending out of date "Market Orders". In these circumstances, the Company will update the price and execute the order at the best available "market price".

You acknowledge that when a transaction is closed or being executed, it may not be cancelled or modified.

You understand that you may lose all amounts you have deposited with the Company as margin. The placing of certain orders available on the Trading Platform (e.g. "stop-loss" or "limit" orders) that are intended to limit losses to certain amounts may not always be effective because market conditions or technological limitations may make it impossible to execute such orders. Please also note that for all orders (including guaranteed stop loss orders) you may sustain the loss (which your order is intended to limit) in a short period of time. In other cases, the execution of a "Stop-Loss" order may be worse than its stipulated price and the realized losses can be larger than expected.

9. Technical Risks

The Company shall not be responsible for the risks of financial losses caused directly or indirectly by failure, malfunction, interruption, disconnection or malicious actions of

information, communication, electricity, electronic or other systems.

The Company has no responsibility if authorized third persons have access to information, including electronic addresses, electronic communication and personal data when the above are transmitted between the Company and any other party, using the Internet or other network communication facilities, telephone, or any other electronic means.

You acknowledge that the unencrypted information transmitted by e-mail is not protected from any unauthorized access.

At times of excessive deal flow you may have some difficulties to be connected over the phone or the Company's Trading Platform(s)/ system(s), especially in the fast Market (for example, when key macroeconomic indicators are released).

You acknowledge that the Internet may be subject to events which may affect your access to the Website and/or the Company's Trading Platform(s)/system(s), including but not limited to interruptions or transmission blackouts, software and hardware failure, Internet disconnection, public electricity network failure or hacker attacks. The Company is not responsible for any damages or losses resulting from such events which are beyond its control or for any other losses, costs, liabilities or expenses (including, without limitation, loss of profit) which may result from your inability to access the Website and/or Trading Platform or delay or failure in sending orders or Transactions.

You are warned that when trading in an electronic Trading Platform(s) you assume risk of financial loss which may be a consequence of amongst other things:

- Failure of your devices, software and poor quality of connection.
- The Company's or your hardware or software failure, malfunction or misuse.
- Improper work of your equipment.
- Wrong setting of your Terminal.
- Delayed updates of your Terminal.

10. Risks Particularly Associated With Transactions in CFDs

Investing in some Financial Instruments entails the use of "gearing" or "leverage". In considering whether to engage in this form of investment, you should be aware that the high degree of "gearing" or "leverage" is a particular feature of CFDs. This stems from the margining system applicable to such trades, which generally involves a comparatively modest deposit or margin in terms of the overall contract value, so that a relatively small movement in the underlying market can have a disproportionately dramatic effect on your trade. If the underlying market movement is in your favour, you may achieve a good profit, but an equally small adverse market movement can not only quickly result in the loss of your entire deposit, but also expose you to a large additional loss. In regard to transactions

in CFDs, a CFD is a non-deliverable spot transaction giving an opportunity to make profit or loss on changes in currency rates, commodity, stock market indices or share prices called the Underlying Asset.

Transactions may not be undertaken on a recognized or designated investment exchange and, accordingly, they may expose you to greater risks than exchange transactions. You may also have to close any position with the same counterparty with whom it was originally entered into. In regard to transactions in Financial Instruments with the Company, the Company is using a Trading Platform for transactions in Financial Instruments which does not fall into the definition of a recognized exchange or a multilateral trading facility.

The Company is not an advisor or a fiduciary to you.

Where the Company provides generic market recommendations, such generic recommendations do not constitute a personal recommendation or investment advice and have not considered any of specific personal circumstances or investment objectives nor is it an offer to trade, or the solicitation of an offer to trade CFDs. Each decision made by you to trade in CFDs with the Company and each decision as to whether a transaction is appropriate or proper for you is an independent decision made by yourself.

You understand that the Company has no fiduciary duty to you and no liability in connection with and is not responsible for any liabilities, claims, damages, costs and expenses, including attorneys' fees, incurred in connection with you following the Company's generic trading recommendations or taking or not taking any action based upon any generic recommendation or information provided by the Company.

11. No guarantee of profit

There are no guarantees of profit or avoidance of losses when trading in CFDs. You have not and will not receive any such guarantees from the Company or from any of its representatives. You must become aware of the risks inherent in trading in CFDs and is financially able to bear such risks and withstand any losses incurred.

Important Notice:



This Notice does not disclose, contain or explain all of the risks and other significant aspects involved in dealing in all Financial Instruments and investment services. This Notice was designed to explain in general terms the nature of the risks involved when dealing in Financial Instruments on a fair and non-misleading basis in accordance with the applicable regulations.

This Notice is not part of our Client Services Agreement and is not intended to be contractually binding or impose or seek to impose any obligations on us which we would not otherwise have.